

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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Washington, D.C. 20231

| APPLICATION NO.                            | FILING DATE | FIRST NAMED INVENTOR |        |              | ATTORNEY DOCKET NO |  |
|--|-------------|----------------------|--------|--------------|--------------------|--|
| 09/531,403                                 | 03/20/00    | CHIANG               |        | M            | TSMC99-651         |  |
| <del>_</del>                               |             |                      | $\neg$ | EXAMINER     |                    |  |
| GEORGE O SA:                               | rı =        | MM92/0227            |        | PHAM.L       |                    |  |
| 20 MCINTOSH DRIVE<br>POUGHKEEPSIE NY 12603 |             |                      | •      | ART UNIT     | PAPER NUMBER       |  |
| 1 000m/kee/ 31                             | _ NY 12005  |                      |        | 2823         |                    |  |
|  |             | •                    |        | DATE MAILED: |                    |  |
|  |             |                      |        |              | 02/27/01           |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 



## UNITED STATES EPARTMENT OF COMMERCE Patent and Trademark Office

#### NOTICE OF ALLOWANCE AND ISSUE FEE DUE

MM92/0227

GEORGE O SAILE 20 MCINTOSH DRIVE POUGHKEEPSIE NY 12603

| APPLICATION NO.                                 | FILING DATE                           | TOTAL CLAIMS   | EXAM                   | INER AND GROUP ART | UNIT                   | DATE MAILED |
|---|---------------------------------------|----------------|------------------------|--------------------|------------------------|-------------|
| 09/531.403                                      | 03/20/00                              | 023            | PHAM. L                | •                  | 2623                   | 02/2//01    |
| First Named CHIANG.                             | · · · · · · · · · · · · · · · · · · · | <del>-</del> - | ISC 154(6)             | term ext           |                        |             |
| TITLE OF NOVEL METHOD<br>INVENTION ATION PROCES | FOR IMPROV<br>OURE PERFORM            | ING HOT CA     | RRIER LIFE<br>OR AFTER | TIME VIA A         | NITHOGEN<br>R DEPOSITI | ON CHAI     |
| ATTY'S DOCKET NO.                               | CLASS-SUBCLASS                        | BATCH NO.      | APPLN: TYPE            | SMALL ENTITY       | FEE DUE                | DATE DUE    |
| 3 TSMC99-651                                    | 436-30                                | 5.000 C4       | 7 UTILI                | YY NO              | \$1240.00              | <u> </u>    |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.

#### **HOW TO RESPOND TO THIS NOTICE:**

- I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
  - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
  - B. If the status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.

  Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PATENT AND TRADEMARK OFFICE COPY

|   | Application No.  | Applicant(s)  |                            |  |
|---|--|---|----------------------------|--|
|   | 09/531,403   | CHIANG ET AL.                                       | CHIANG ET AL.              |  |
| Notice of Allowability  | Examiner   | Art Unit  |                            |  |
|   | Long Bhom  | 2823  |                            |  |
|   | Long Pham  | 2023  |                            |  |
| The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance and Issue | (OR REMAINS) CLOSED in 1                               | this application. If not incl                       | uded                       |  |
| . 🗖   |  |   |                            |  |
| 1. This communication is responsive to  |  |   |                            |  |
| 2. The allowed claim(s) is/are 1-23.  |  |   |                            |  |
| 3. The drawings filed on <u>03/20/00</u> are acceptable.  | .dor 25     0 C   5   110/0\ /d\                       |   |                            |  |
| <ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority un</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the CERTII</li> </ul>                             | FIED copies of the priority doc                        | numents have been                                   |                            |  |
| , —   | I IED copies of the phoney doc                         | amonto navo boon                                    |                            |  |
| 1. received.  | - vi1 Ni vuodo o v                                     |   |                            |  |
| 2. Treceived in Application No. (Series Code / Se   |  | OT Dule 47.2(e)\                                    |                            |  |
| 3.  received in this national stage application from  | m the international Bureau (P                          | C1 Rule 17.2(a)).                                   |                            |  |
| * Certified copies not received:  |  |   |                            |  |
| 5. $\square$ Acknowledgement is made of a claim for domestic priority   | under 35 U.S.C. & 119(e).                              |   |                            |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY to comply THREE MONTHS FROM THE "DATE MAILED" of this Office AC ABANDONMENT of this application. Extensions of time may be   | tion. Failure to timely comply                         | WIII result in                                      |                            |  |
| 6. Note the attached EXAMINER'S AMENDMENT or NOTICE the oath or declaration is deficient. A SUBSTITUTE OA   | CE OF INFORMAL APPLICAT<br>TH OR DECLARATION IS RE     | TION (PTO-152) which giv<br>EQUIRED.                | es reason(s) why           |  |
| 7. Applicant MUST submit NEW FORMAL DRAWINGS  |  |   |                            |  |
| (a) ☐ because the originally filed drawings were declared to  | by applicant to be informal.                           |   |                            |  |
| (b) ☐ including changes required by the Notice of Draftspe  | erson's Patent Drawing Review                          | v( PTO-948) attached                                |                            |  |
| 1) ☐ hereto or 2) ☐ to Paper No   |  |   |                            |  |
| (c) ☐ including changes required by the proposed drawing  | correction filed, whic                                 | h has been approved by t                            | he examiner.               |  |
| (d) ☐ including changes required by the attached Examine  | er's Amendment / Comment.                              |   |                            |  |
| Identifying indicia such as the application number (see<br>drawings. The drawings should be filed as a separate p<br>Draftsperson.                                  | 37 CFR 1.84(c)) should be w                            | vritten on the reverse sider addressed to the Offic | le of the<br>ial           |  |
| 8.  Note the attached Examiner's comment regarding REQU   |  |   |                            |  |
| Any reply to this letter should include, in the upper right hand coapplicant has received a Notice of Allowance and Issue Fee Du ALLOWANCE should also be included. | orner, the APPLICATION NUM<br>e, the ISSUE BATCH NUMBE | IBER (SERIES CODE / SI<br>R and DATE of the NOTI    | ERIAL NUMBER). If<br>CE OF |  |
| Attachment(s)   |  |   |                            |  |
| 1⊠ Notice of References Cited (PTO-892)   |  | f Informal Patent Applicat                          |                            |  |
| 3⊠ Notice of Draftperson's Patent Drawing Review (PTO-948)  |  | v Summary (PTO-413), Pa                             |                            |  |
| 5⊠ Information Disclosure Statements (PTO-1449), Paper No.  | 2. 6∐ Examine  | er's Amendment/Commen<br>er's Statement of Reasons  |                            |  |
| 7 Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 8⊠ Examin<br>9∏ Other                                  | er's Statement of Reasons                           | TO Allowance               |  |
|   |  |   |                            |  |
|   |  |   |                            |  |

Application/Control Number: 09/531,403

Art Unit: 2823

### **REASONS FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance:

The prior art taken alone or in combination neither discloses nor makes obvious the instant process of claims as a whole. Specifically, the prior art fails to teach or disclose the method as recited in present claim 1

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Long Pham whose telephone number is 703-308-1092. The examiner can normally be reached on M-F, 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on 703-308-4918. The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-7721 for regular communications and 703-308-7722 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

Long Pham

Primary Examiner

Art Unit 2823